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I hereby certify that this paper (along with any paper or fee referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231.

RHEA A WILSON

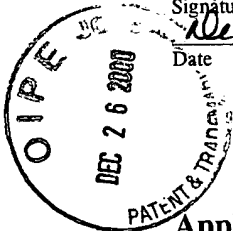
Type or print name of person mailing paper

Rhea A. Wilson

Signature of person mailing paper

December 19, 2000

Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Douglas J. Herst
Peter Y.Y. Ngai
Hue Ly

Attention: Manager
Application Branch

Serial No. : 09/641,469

Docket: 202N-095

Filed : August 17, 2000

For : LUMINAIRE HAVING A MOCK LIGHT SOURCE
FOR IMPROVED SOURCE BRIGHTNESS CONTROL

Oakland, California
December 19, 2000

Asst. Commissioner for Patents
Washington, D.C. 20231

Attention: Application Processing Division
Special Processing and
Correspondence Branch

Dear Sir:

RESPONSE

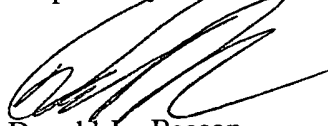
The Applicants herewith respond to the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted.

Enclosed are the required missing parts as follows:

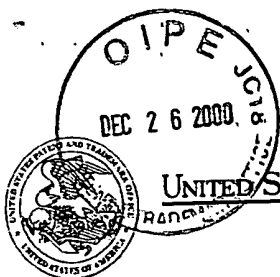
1. Declaration for Patent Application, executed by Douglas J. Herst, Peter Y.Y. Ngai and Hue Ly.
2. A check in the sum of \$1,486 is enclosed to cover the large entity surcharge fee (\$130) and filing fee of \$1,356, along with a copy of Form PTO-1533.

Please charge Deposit Account No. 19-2383 for any additional charges, or credit any excess fee paid. A duplicate of this request is attached for this purpose.

Respectfully submitted,



Donald L. Beeson
Reg. No. 27,692
Attorney for Applicants
One Kaiser Plaza, Suite 2360
Oakland, California 94612
(510) 832-8700



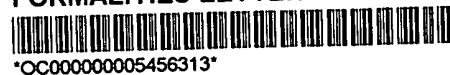
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/641,469	08/17/2000	Douglas J. Herst	202N-099095

Donald L Beeson
Suite 2360
One Kaiser Plaza
Oakland, CA 94612

FORMALITIES LETTER



OC000000005456313

Date Mailed: 10/05/2000

12.5.00

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$666.
 - \$432 for 24 total claims over 20.
 - \$234 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1486.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/05/2000 KZENDIE 00000049 192383 095-41469
710.00 OP
130.00 OP
240.00 OP
406.00 OP
26.00 CH

